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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Application Number

First Inventor

Title

Attorney Docket Number

Jennifer Lu

METHOD FOR PRODUCING
A TRANSDUCER SLIDER
WITH TAPERED EDGES

SJ09-2000-0158

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 27]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. ☒ Oath or Declaration [Total Pages 3 Unsigned]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies



ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:


☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____
Prior application information: Examiner: _____ Group / Art Unit: _____For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number of Bar Code Label		 23980 PATENT TRADEMARK OFFICE (Insert Customer No. or Attach bar code label here)		or <input type="checkbox"/> Correspondence address below	
Name					
Address		REED & ASSOCIATES			
		3282 Alpine Road			
City	Portola Valley	State	CA	Zip Code	94028
Country	USA	Telephone	(650) 851-8501	Fax	(650) 851-6333
Name (Print/Type)	Louis L. Wu	Registration No. (Attorney/Agent)		44,413	
Signature			Date	1/16/2001	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commission for Patents, Box Patent Application, Washington, DC 20231.

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to "Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231."			
"Express Mail" Mailing Label No.	EL 567 216 72245	Date of Deposit	Jan. 10, 2001
Name of Person Mailing Paper or Fee(Print/Type)	Marilyn B. McKenna		
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(Modified) PTO/SB/05 (12/97)

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FEE TRANSMITTAL		Complete if Known	
 3960 U.S. 01/10/01 Note: Effective October 1, 1997. Patent fees are subject to annual revision.		Application Number	
TOTAL AMOUNT OF PAYMENT (\$1150)		Filing Date	Filed Herewith
METHOD OF PAYMENT (check one)		First Named Inventor	Jennifer Lu
1. <input type="checkbox"/> The commissioner is hereby authorized to charge any additional fees and credit any overpayments to: Deposit Account No.: Deposit Account Name: REED & ASSOCIATES		Group Art Unit	Not Assigned Yet
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		Examiner Name	Not Assigned Yet
<input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance		Attorney Docket Number	SJ09-2000-0158
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		FEE CALCULATION (continued)	
FEE CALCULATION		3. ADDITIONAL FEES	
1. FILING FEE		Larg e Fee Code Entity Fee Code Small Fee Code Entity Fee Code Fee Description Fee Due	
Large Fee Code Entity Fee Code Small Fee Code Entity Fee Code Fee Description Fee Due		105 130 205 65 Surcharge - late filing fee or oath	
101 710 201 355 Utility filing fee \$710		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
102 320 206 160 Design filing fee		139 130 139 130 Non-English specification	
104 490 207 245 Plant filing fee		147 2,520 147 2,520 For filing a request for reexamination	
109 710 208 355 Reissue filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
110 150 214 75 Provisional filing fee		113 1,840 113 1,840 Requesting publication of SIR after Examiner action	
SUBTOTAL (1) \$710		115 110 215 55 Extension for reply within first month	
2. CLAIMS		116 390 216 195 Extension for reply within second month	
Total Claims 40 - 20 = 20 X 18 \$360		117 890 217 455 Extension for reply within third month	
Independent Claims 4 - 3 = 1 X 80 \$80		118 1,390 218 695 Extension for reply within fourth month	
Multiple Dependent Claims X		128 1,890 228 945 Extension for reply within fifth month	
Large Fee Code Entity Fee Code Small Fee Code Entity Fee Code Fee Description Fee Due		119 310 219 155 Notice of Appeal	
103 18 203 9 Claims in excess of 20		120 310 220 155 Filing a brief in support of an appeal	
102 80 202 40 Independent Claims in excess of 3		121 270 221 135 Request for oral hearing	
104 270 204 135 Multiple Dependent Claim		138 1,510 138 1,510 Petition to institute a public use proceeding	
109 80 209 40 Reissue independent claims over original patent		140 110 240 55 Petition to revive - unavoidable	
110 18 210 9 Reissue claims in excess of and over original patent		141 1,240 241 620 Petition to revive - unintentional	
SUBTOTAL (2) \$440		142 1,240 242 620 Utility issue fee (or reissue)	
		143 440 243 220 Design issue fee	
		144 600 244 300 Plant issue fee	
		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Petitions related to provisional applications	
		126 240 126 240 Submissions of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 710 246 355 Filing a submission after final rejection (37 CFR 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR 1.129(b))	
		Other fee (specify)	
		Other fee (specify)	
		SUBTOTAL (3) \$	
SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name Louis L. Wu		Reg. Number 44,413	
Signature <u>L. L. Wu</u>		Date 1/10/2001	
		Deposit Account	